

**LAW OFFICES OF JULIE BOWKER**

THE PARAMOUNT BUILDING  
1501 BROADWAY, SUITE 1603  
NEW YORK, N. Y. 10036

TELEPHONE: (212) 302-3316  
FACSIMILE: (212) 302-3359

**FACSIMILE TRANSMITTAL**

FROM: Julie Bowker

DATE: August 22, 2001

NO. OF PAGES (including cover): 8

**FAX RECEIVED**  
**AUG 22 2001**  
**GROUP 1600**

**PLEASE DELIVER THE FOLLOWING TO:****Recipients(s):****Fax Number:**

Examiner Pensee Do (Art Unit 1641)  
US PTO

(703) 308-4242

Re: U.S. Serial No. 09/688,572 (Continuation of U.S. Serial No. 09/171,550)

Preliminary Amendment

OFFICIAL

**CONFIDENTIALITY NOTICE:** The documents accompanying this facsimile transmission contain confidential information belonging to the sender. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this facsimile information is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of the original documents to us.

**IF THERE ARE ANY PROBLEMS WITH RECEPTION OF THIS FAX,  
PLEASE CALL OR FAX SENDER TO ADVISE. THANK YOU.**

464.1006 CON3

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: SEUL, Michael

Serial No.: 09/688,572

Filed: October 17, 2000

For: **LIGHT-CONTROLLED ELECTROKINETIC ASSEMBLY OF PARTICLES NEAR SURFACES**

Examiner: P. Do (Group Art Unit 1641)

**PRELIMINARY AMENDMENT**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as follows:

**IN THE CLAIMS :**

✓ Please cancel claims 1 to 14, without prejudice.

Please add new claims 15 to 39 as follows:

- A<sub>1</sub>
- ✓ 15. (New) A method of forming a planar assembly of particles in a designated area on a substrate, said method comprising:
- providing a first electrode positioned in a first plane, and a second electrode positioned in a second plane different from the first plane, an electrolyte solution located therebetween and a plurality of particles therein, wherein the second electrode comprises a planar

**CERTIFICATE OF FACSIMILE TRANSMISSION**I hereby certify that this Preliminary Amendment is being facsimile transmitted to facsimile no. (703) 308-4242 to the Assistant Commissioner for Patents on the date shown belowBy Julie Bowker Date: August 22, 2001FAX RECEIVED  
AUG 22 2001  
GROUP 1600

ORIGINAL